Label-Free, Single-Molecule Detection with Optical Microcavities

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Current single-molecule detection techniques require labeling the target molecule. We report a highly specific and sensitive optical sensor based on an ultrahigh quality (Q) factor (Q > 10⁸) whispering-gallery microcavity. The silica surface is functionalized to bind the target molecule; binding is detected by a resonant wavelength shift. Single-molecule detection is confirmed by observing shifts over many binding events. These shifts result from a thermo-optic mechanism. Additionally, label-free, single-molecule detection of interleukin-2 was demonstrated in serum. These experiments demonstrate a dynamic range of 10¹² in concentration, establishing the microcavity as a sensitive and versatile detector.

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References
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Fig. 1. The microtoroid resonator biological sensor. (A) A scanning electron micrograph (SEM) image of the UHQ microtoroid optical resonator. The silica microtoroid is fabricated on a silicon wafer using planar lithography and reflowed using a CO₂ laser. The typical microtoroid diameter used in this work was 80 μm. (B) A finite element model (FEMLAB (COMSOL, Burlington, Massachusetts, USA)) of a 4-μm minor diameter microtoroid resonator immersed in water. Although the majority of the optical field resides in the silica toroid, a portion of the field evanesces into the environment (indicated by a white arrow). The interaction of the whispering-gallery mode with the environment, specifically molecules bound on the surface of the toroid, enables the ultrasensitive detection.

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effects of water and of silica balance to stabilize the conventional thermally induced shift (26).

The working range of this device was determined with interleukin-2 (IL-2), a cytokine released in response to immune system activation to extrinsic and intrinsic stimuli. Concentrations ranging from $1 \times 10^{-19}$ M to $1 \times 10^{-6}$ M were controllably flowed past the microtoroid resonator using a syringe pump (26). For IL-2, the highest sensitivity occurred in the lower concentration range. The dose-response curve (Fig. 2) is sigmoidal, as would be expected from antibody-antigen binding if there were a finite number of binding sites. An easily detectable response was observed for concentrations as large as $10^{-12}$ M, which is the range that has a detectable response is 5 aM. The error in this data is ± 0.005 pm. (26)

The single-molecule shifts observed here are large based on previously proposed detection mechanisms (19, 18). However, at the fundamental level, these theories assume that biological molecules have only a direct effect on either optical path length or $Q$ factor by way of their complex polarizability. In such cases, it is straightforward to show that sensitivity scales linearly with microcavity quality factor. For IL-2, the highest sensitivity occurred in the lower concentration range (1012). This can be compared with that for other label-free room-temperature detection techniques, such as nanowire sensors ($10^3$) (28) and microcantilevers ($10^4$) (29) and is comparable to or greater than fluorescent or luminescent assays such as chemiluminescence (30).

For the lowest concentrations where shifts are observed, small numbers of molecules would be expected to interact with the toroid, which suggests that the sensor might accomplish label-free single-molecule detection. To investigate this regime further, a data acquisition system was used to measure resonant wavelength versus time at fixed concentrations and flow rates. Figure 3A shows shift responses versus time at three concentrations of IL-2 (26). By optimizing solution concentration, solution injection rate, and data acquisition rate, shifts caused by individual molecules binding to the surface of the toroid were resolved. We observed stepwise shifts, and their frequency scaled linearly with microcavity quality factor by way of the optical path length. The high circulating intensities within the resonator locally heat molecules attached to the whispering gallery. This temperature increase results in a red shift of the resonant wavelength through the thermo-optic effect, when the whispering-gallery material itself (in this case, silica) is heated by the molecule. Contrasting this mechanism with the conventional method of microcavity detection based on directly induced changes in optical path length of the whispering-gallery resonant mode shows that the optical path length in the present case is varied indirectly and in proportion to the intensity-induced heating of the molecule. This mechanism therefore receives a double benefit (i.e., quadratic from the ultrahigh $Q$ factor (UHQ); first, from the narrow linewidth (i.e., improved resolution in measuring shifts), and second, from an increase in the intrinsic shift by way of the thermo-optic effect.

The expected thermo-optic wavelength shift is straightforward to predict. Beginning with the wave equation and adding a perturbing thermal contribution to the susceptibility, the theoretical wavelength shift produced by a single molecule (bound at the intensity maximum) by the thermo-optic mechanism can be shown to be given by (32):

$$\frac{\delta \lambda}{\lambda} = \frac{\alpha}{8\pi^2 n^2 k T Q P} \int \frac{|u(r)|^2}{|r| + e} \, dr$$

where $\lambda$ is the wavelength, $\alpha$ is the absorption cross section of the molecule, $dn/dT$ is the optothermal constant of silica ($1.3 \times 10^{-5}$ K$^{-1}$), $k$ is thermal conductivity, $n$ is the effective refractive index of the silica toroid, $V$ is the optical mode volume, $Q$ is cavity $Q$ factor, and $P$ is the coupled optical power. The integral in this expression accounts for the spatial overlap of the whispering-gallery mode field $|u(r)|$, with the temperature profile created by the nearly pointlike molecular heat source. The parameter $e$ is the order of the physical radius of the molecule (33) and, as shown below, has a negligible role in determining the magnitude of predicted shifts. As noted above, the strength of the thermo-optic effect depends on the circulating intensity within the toroidal whispering gallery, as evident in Eq. 1 by the dependence of the resonance shift on the coupled optical power, cavity $Q$, and modal volume.

Using this expression, we can establish the absorption cross section required to create a shift equal to one-cavity linewidth (34),

$$\sigma_1 = \frac{V}{Q^2 P} \frac{1}{\lambda^2 e n^2 k T} \int \frac{|u(r)|^2}{|r| + e} \, dr$$

**Fig. 2.** The working range and dose response of the microtoroid sensor was investigated using a series of IL-2 solutions ranging from $10^{-39}$ M to $10^{-14}$ M. The $Q$ of the cavity used in this study was $1.83 \times 10^3$. This response is sigmoidal, as would be expected from antibody-antigen binding if there were a finite number of binding sites. The first reliable signal was obtained at $5 \times 10^{-18}$ M. The total working range of the sensor is from 5 aM ($5 \times 10^{-33}$) to 1 $\mu$M ($1 \times 10^{-6}$); the response of the sensor is not linear over this entire concentration range. The error bars are smaller than the symbols in the main graph and are shown in the insets. (A) Enlarged view of the low concentration response. The first concentration that has a detectable response is 5 aM. The error in this data is ± 0.005 pm. (B) Enlarged view of the higher concentration response (after considerable site saturation has occurred). The error in this data is ± 0.005 pm.
This figure of merit illustrates how the sensitivity benefits quadratically from the cavity $Q$ factor. As an example, for a cavity $Q$ factor of 250 million, a coupled power of 1 mW, a molecular radius in the range of 3 to 50 nm (34), a wavelength of 680 nm, a toroid of diameter 80 µm, and the optical and thermal constants of silica, $\sigma_1$ is between $1.1 \times 10^{-17}$ cm$^2$ and $1.5 \times 10^{-17}$ cm$^2$. This value is well below the values for many biomolecules and does not represent a fundamental limit of detection because, in principle, detection of sublinewidth shifts is possible provided that the signal-to-noise ratio is adequate (34). Conversely, if a cross section of $2 \times 10^{-16}$ cm$^2$ is assumed (typical of several molecules used in this study), then a single-molecule wavelength shift of between 50 and 33 fm is predicted, which is easily detected for a UHQ microcavity. We note that the thermo-optic mechanism is not limited to UHQ silica resonant cavities and can be generalized to other lower-$Q$ resonant cavities, provided that power levels are substantially boosted to overcome the reduced $Q$.

We verified the proposed thermo-optic detection mechanism and investigated its universality by performing a series of single-molecule detection experiments using molecules of varying absorption cross sections, ranging from $10^{-16}$ to $10^{-17}$ cm$^2$ at low concentrations (35). The dependence of the resonant shift on $Q$ was verified by using cavities with $Q$ factors of either $-1 \times 10^8$ or $-2 \times 10^8$ (26). The lowest cross section molecules used were the IL-2 antigen (Fig. 3), protein G, and streptavidin. Two different polyclonal antibodies were also used and served as intermediate absorption cross section molecules. Two high-cross section fluorescent dye molecules were also used: Cy5-labeled antibody (fluorescent dye) and QSY-21 (a quencher molecule designed to absorb light like a fluorescent dye but not to re-emit photons) to provide further cross-sectional dynamic range in the test. If we assume that the largest shift in the histogram corresponds to the case of a single-molecule binding event at the region of highest intensity (i.e., the equatorial plane of the whispering gallery), this maximum shift can be plotted versus the molecular cross sections to verify Eq. 1.

Figure 4 summarizes the theoretical predictions and experimental results by plotting the expected theoretical relation between absorption cross section and maximum resonance shift is confirmed experimentally for IL-2, streptavidin, protein G, two different IL-2 antibodies, and two synthetic fluorophores (Cy5 and QSY-21). These shifts are determined using the method described in (26). The solid symbols were taken using microresonators with $Q \sim 1 \times 10^8$; the hollow symbols were taken using microresonators with $Q \sim 2 \times 10^8$. The two solid curves are the theoretically predicted dependence based on Eq. 1.
largest measured single-molecule resonance shift versus absorption cross section. For small cross sections (that do not lower cavity Q), a linear dependence is expected based on the thermo-optic model presented above (we assume a negligible impact of the size parameter e). The coupled input power and toroid diameter were constant throughout the measurements. With the exception of the shift data for QSY-21, single-molecule data were obtained for multiple binding events on a single toroid. QSY-21 appreciably lowers the Q factor, which made multiple single-molecule measurements untenable. From Fig. 4, it is apparent that there is excellent alignment of the data across all of the distinct molecules and for both Q factors. Furthermore, it should be noted that the higher Q factor provides a proportionally larger shift, as expected from the proposed mechanism. The solid lines in Fig. 4 are the theoretical predictions based on the previously outlined thermo-optic model, using a single ε value of 35 nm.

The detection mechanism is not purely linear in cross section because a single molecule that is highly absorbing can decrease the cavity Q factor (26). This phenomenon occurs both with Cy5 and QSY-21, which impact the Q factor even at the single-molecule level. Because the Q factor is decreased, the circulating intensity is reduced, and the thermo-optic induced heating is also decreased. Thus, the linear fit for smaller cross section molecules (which assumes a constant Q and hence constant circulating power) is expected to break down in these cases in precisely the manner observed.

To verify the application of the microtoroid sensor as a diagnostic tool for medical applications, detection of IL-2 in fetal bovine serum was chosen. As mentioned previously, IL-2 is a cytokine which is released in response to immune system activation. However, the concentration of IL-2 in serum has been shown to change in patients with childhood leukemia and to be an indicator of an impaired immune system (17). To demonstrate detection of IL-2 in serum, requiring additional equipment or employing more sensitive measurement that can be performed without first sensitizing the surface. In biology because specificity is often as important as sensitivity. This method of detection functions at room temperature and is capable of performing both label-free single-molecule measurements and higher concentration measurements on a single platform. It will enable a new class of biological experiments, including monitoring growth factors that are emitted from living cells in vivo. Because our device is bio-compatible and can operate in aqueous environments, it can be used for direct detection of proteins within biological samples without labeling, or even separation, and it should be applicable for the detection of tumor markers present at low concentration in a serum sample or rare growth factors secreted from cells in culture.

3D shows the distribution of wavelength shifts for all of the single-molecule binding events. The largest shift that occurred (which, as noted before, corresponds to binding at the highest intensity region of the microtoroid) was the same at all three IL-2 concentrations. This value agrees very well with the theoretically predicted value based on the cavity Q for the toroid used in this experiment and the absorption cross section of IL-2. Additionally, the number of molecules that bind increases as a function of the concentration, as would be expected (26).

Although there are many other single-molecule detection schemes possible, this UHQ optical microwaviness does not require specific labeling of the analyte or antigen in question. This method of detection functions at room temperature and is capable of performing both label-free single-molecule measurements and higher concentration measurements on a single platform. It will enable a new class of biological experiments, including monitoring growth factors that are emitted from living cells in vivo. Because our device is bio-compatible and can operate in aqueous environments, it can be used for direct detection of proteins within biological samples without labeling, or even separation, and it should be applicable for the detection of tumor markers present at low concentration in a serum sample or rare growth factors secreted from cells in culture.

References and Notes
22. It is possible to perform single-molecule detection without first sensitizing the surface. This type of detection is shown in Fig. 4 where single-molecule detection experiments of Protein G and QSY-21 were successfully demonstrated without first sensitizing the surface. However, this type of detection has limited applications in biology because specificity is often as important as sensitivity. This surface sensitization is distinct from a label because the antibody is attached to the surface of the microtoroid and not to the molecule of interest (e.g., antigen or streptavidin), whereas a label is attached to the molecule of interest.
26. Materials and methods are available as supporting material on Science Online.
32. The majority of the optical field intensity (over 90%) resides within the toroidal boundary. This fact and the similar magnitudes of the thermal conductivity of water and silica (0.6 and 1.38 W/mK) make it possible to attribute all thermal tuning in (2) (and, indeed, the shape of the thermal plume) to the silica. The resulting error in the calculated tuning shift is estimated to be about 5%. We also note that the indicated shift is in steady state, and the response time of the system is set by the thermal response (on the order of microseconds).
33. The actual form of the temperature plume in the vicinity of the molecule is likely complex and has been combined into a single empirical parameter e. In contrasting a perfect point source of heat with a molecule, this parameter captures the essential fact that the temperature profile is not singular at the source and instead goes steadily until reaching some radius on the order of the molecular size. This approximation is justified, first, because the thermal transport process itself rapidly smoothes nanoscale spatial variations created by molecular shape and, second, because the ensuing temperature field created by the molecular hot spot is long-range (i.e., 1/r dependence). For this reason, the tuning shift is only a weak function of the parameter e. Along these lines, it is the optical cross section e as opposed to the physical radius r, that is of far greater relevance to the thermal-induced tuning. However, the size of e strongly suggests a maximum temperature in the vicinity of the molecule; therefore, on physical grounds (i.e., molecules are not denaturing in the present work), we expect e to be many times the actual physical size of the molecule.
34. A cavity linewidth measurement is the minimum sensitivity measurement that can be performed without requiring additional equipment or employing more complex techniques (such as locking onto the resonant wavelength). The primary assumption in this measurement is that the resonant wavelength shift an entire linewidth upon the binding of a molecule. For example, a cavity with a Q of 100 million operating at 680 nm would need to shift 6.8 x 10^-9 nm. As mentioned, this limit is not fundamental but can be viewed as what is detectable by methods that do not enable detection of sub-linear shifts. Thus, this limit is the most desirable one to consider when balancing detection limits and experimental complexity.
35. The absorption cross sections of the IL-2, protein G, streptavidin, and the two different IL-2 antibodies were determined with an ultraviolet-visible spectrophotometer (Shimadzu BioSpec-1601, San Diego, CA, USA), whereas the absorption cross section of the Cy5-labeled antibody and the QSY-21 quencher were determined from absorption spectra available from the manufacturer (Invitrogen, Carlsbad, CA, USA). The absorption spectra of the Cy5-labeled antibody and of the bleached Cy5-labeled antibody were verified with the spectrophotometer.
Ultrafast Flash Thermal Conductance of Molecular Chains

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At the level of individual molecules, familiar concepts of heat transport no longer apply. When large amounts of heat are transported through a molecule, a crucial process in molecular electronic devices, energy is carried by discrete molecular vibrational excitations. We studied heat transport through self-assembled monolayers of long-chain hydrocarbon molecules anchored to a gold substrate by ultrafast heating of the gold with a femtosecond laser pulse. When the heat reached the methyl groups at the chain ends, a nonlinear coherent vibrational spectroscopy technique detected the resulting thermally induced disorder. The flow of heat into the chains was limited by the interface conductance. The leading edge of the heat burst traveled ballistically along the chains at a velocity of 1 kilometer per second. The molecular conductance per chain was 50 picowatts per kelvin.

Heat transport is central to the operation of mechanical and electronic machinery, but at the level of individual molecules, the familiar concepts of heat diffusion by phonons in bulk materials no longer apply. Heat is transported through a molecule by discrete molecular vibrations. An emerging area in which vibrational energy transfer becomes crucial is the field of molecular electronics, where long-chain molecules attached to tiny electrodes are used to transport and switch electrons. When an electron is transported through a molecule, a portion of the electron’s kinetic energy can be lost, appearing as molecular vibrational energy (1). In studies such as this one, in which molecular energy levels are not individually resolved, it is conventional to call such processes “heat dissipation” or “nanoscale thermal transport” (2), even though an equilibrium Boltzmann distribution is not necessarily achieved. Nitzan and co-workers (3) have estimated that 10 to 50% of the electron energies could be converted to heat, so that a power of $10^{11}$ eV/s may be dissipated on a molecular electronic bridge carrying 10 nA under a bias of 1 eV.

Using classical and quantum mechanical methods, they and others (1) have calculated steady-state temperatures resulting from such dissipation. Steady-state calculations, however, do not entirely capture the essence of this phenomenon. The energy lost when electrons are transported through a molecular wire in a fraction of a picosecond appears as staccato bursts, up to 1 eV per burst. On a 10-carbon alkane molecule, for instance, 1 eV is enough energy to produce a transient temperature jump $\Delta T \approx 225$ K. At the temperatures associated with these ultrafast energy bursts, Nitzan and co-workers (3) suggest that, instead of the usual phonon mechanisms prevalent in ordinary thermal conduction processes (1), much of the heat is carried by higher-energy molecular vibrations such as carbon-carbon stretching and carbon-hydrogen bending, which are delocalized over a few carbon segments (3).

To study molecular energy transport in the regime of short distances, short time intervals, and large temperature bursts, we have used an ultrafast flash thermal conductance apparatus to study densely packed self-assembled monolayers (SAMs) of long-chain hydrocarbon molecules anchored to metal substrates. Laser flash-heating increased the temperature of the metal substrate to $\sim 800^\circ$C in 1 ps. Heat flowed from the metal layer into the base of the molecular chains and then through the chains. A vibrational spectroscopy method was used that selectively probed the thermal-induced disorder of the methyl groups at the ends of the chains. The alkane chain lengths yielded a ballistic velocity for heat flow through the chains, and the measured thermal conductance plus the area per chain yielded a molecular thermal conductance.

The concept of the thermal conductance apparatus is illustrated in Fig. 1A. A femtosecond laser pulse flash-heated an $\sim 300$-μm-diameter region of an Au layer crafted for a fast time response of $\sim 1$ ps. The SAMs were formed from $n$-alkanethiol molecules HS-(CH$_2$)$_n$-CH$_3$ with an even number of carbon atoms from C6 to C24 (i.e., n from 5 to 23). A nonlinear coherent spectroscopic method (4) termed broadband multiplex vibrational sum-frequency generation spectroscopy (SFG) probed an ensemble of $\sim 10^{11}$ alkane chains at the center of the heated region. We determined an overall rate for heat transport from Au into the alkane chains, and a...